## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name o	f Listed Entity	:	Uttam Sugar Mills Limited							
2.	Scrip Co	ode/Name of Scrip/Class of Security	:	532729							
3.	Share H	olding Pattern Filed under	:	Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)							
	a.	If under 31(1)(b) then indicate the repor	t for Quarter ending								
	b.	b. If under 31(1)(c) then indicate date of allotment/extinguishment									
4.	Declara	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-									

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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		_					Uttam	Sugar N	/lills l	imited								
					Tab	le I - Sum	mary Sta	tement h	olding	of specifi	ed securit	ies						
Cate	Category of shareholder	Nos. of			No. of	Total	Sharehold	Number o	f Votin	g Rights hel	d in each	No. of Shares	Shareholding,	Numb	er of	Numbe	er of	Number
gory		shareho	fully paid	Partly	shares	nos.	ing as a %	class of se	curities	;		Underlying	as a %	Locke	d in shares	Shares	pledged	of equity
		Iders	up equity	paid-up	underl	shares	of total					Outstanding	assuming full			or othe	erwise	shares
	1		shares	equity	ying	held	no. of					convertible	conversion of			encum	bered	held in
			held	shares	Deposi		shares	No of Voti	ng Righ	its	Total as a	securities	convertible	No.	As a % of	No.	As a %	demateria
				held	tory		(calculate				% of	(including	securities ( as	(a)	total	(a)	of total	lised form
					Receip		d as per	Class and	Class	T-4-1	(A+B+C)	Warrants)	a percentage		Shares		Shares	
					ts		SCRR,	Class eg:	1	Total			of diluted		held(b)		held(b)	
							1957)	^	eg: y				share capital)					
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a			(IX)		(X)	(XI)= (VII)+(X)		(XII)	()	KIII)	(XIV)
						(IV)+(V)+	% of						As a % of					
						(VI)	(A+B+C2)						(A+B+C2)					
																İ		
(A)	Promoter & Promoter Group	18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	74.3890	0	0.0000	0	0.0000	28370553
(B)	Public	31731	9767567	0	0	9767567	25.6110	9767567	0	9767567	25.6110	0	25.6110	0	0.0000	NA	NA	9626149
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	31749	38138120	0	0	38138120	100.0000	38138120	0	38138120	100.0000	0	100.0000	0	0.0000	0	0.0000	37996702

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		_	Table II	- State	ment show	wing sha	arehold	ing patte	rn of the P	romoter	and P	romoter G	iroup							
-	Category & Name of the shareholders	Entity Type	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Sharehold	Number of	f Votin	g Rights hel	ld in each	No. of	Shareholding,	Num	ber of	Num	per of	Number
				shareh	fully paid		shares		ing %	class of se				Shares	as a %	Locke	ed in	Share	s pledged	of equity
				olders	up equity	equity	underl	held	calculated					Underlying	assuming full	share	es	or ot	nerwise	shares
					shares	shares	ying		as per					Outstanding	conversion of			encu	mbered	held in
					held	held	Deposi		SCRR,	No of Voti	ng Rig	hts	Total as a	convertible	convertible	No.	As a %	No.	As a % of	demateria
							tory		1957 As a				% of Total	securities	securities ( as a	(a)	of total	(a)	total	lised form
							Receip		% of	· ·	T	I	Voting	(including	percentage of		Shares		Shares	
							ts		(A+B+C2)	Class eg:		Total	Rights	Warrants)	diluted share		held(b)		held(b)	
										×	eg: γ				capital)					
	(1)		(11)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII) As a			(IX)		(X)	(XI)= (VII)+(X)	1	(XII)		(XIII)	(XIV)
				, .		' '	' '	(IV)+(V)+	% of					, ,	As a % of					' '
								(VI)	(A+B+C2)						(A+B+C2)					
1	Indian					<del> </del>									, ,				T	+
(a)	Individuals / Hindu Undivided Family			12	5277439	0	0	5277439	13.8377	5277439	0	5277439	13.8377	0	13.8377	0	0.0000	0	0.0000	5277439
,-/	Raj Kumar Adlakha	Promoters	AJZPK7896C	1	2134610	0	0	2134610	5.5971	2134610	0	2134610	5.5971	0	5.5971	0	+	0	0.0000	2134610
	Ranjan Adlakha	Promoters	AAEPA8800E	1	1492550	0	0	1492550	3.9135	1492550	0	1492550	3.9135	0	3.9135	0		0	0.0000	1492550
	Shomna Adlakha	Promoter Group	AEMPA3857P	1	870410	0	0	870410	2.2823	870410	0	870410	2.2823	0	2.2823	0		0	0.0000	870410
	Ranjana Chopra	Promoter Group	AAFPC2296R	1	375601	0	0	375601	0.9848	375601	0	375601	0.9848	0	0.9848	0		0	0.0000	375601
	Rajni Babbar	Promoter Group	ACCPB1027G	1	139910	0	0	139910	0.3669	139910	0	139910	0.3669	0	0.3669	0	0.0000	_	0.0000	139910
	Sunayna Sunna Adlakha	Promoter Group	BQJPA0659P	1	114856	0	0	114856	0.3012	114856	0	114856	0.3012	0	0.3012	0		0	0.0000	114856
	Rajan Adlakha	Promoters	ADEPA1936C	1	78088	0	0	78088	0.2048	78088	0	78088	0.2048	0	0.2048	0	0.0000	0	0.0000	78088
	Shanta	Promoter Group	AMEPS8581J	1	60000	0	0	60000	0.1573	60000	0	60000	0.1573	0	0.1573	0		0	0.0000	60000
	Jai Adlakha	Promoter Group	AXUPA5278K	1	4200	0	0	4200	0.0110	4200	0	4200	0.0110	0	0.0110	0	0.0000	0	0.0000	4200
	Raj Kumar Adlakha Huf	Promoter Group	AAGHR4573R	1	4104	0	0	4104	0.0108	4104	0	4104	0.0108	0	0.0108	0	0.0000	0	0.0000	4104
	Balram Adlakha	Promoter Group	AWTPA3967F	1	3100	0	0	3100	0.0081	3100	0	3100	0.0081	0	0.0081	0	0.0000	0	0.0000	3100
	Sonia Adlakha	Promoter Group	ACBPA8837E	1	10	0	0	10	0.0000	10	0	10	0.0000	0	0.0000	0	0.0000	0	0.0000	10
	Bharat Adlakha	Promoter Group	AKPPA0317B	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0
	Saieesha Adlakha	Promoter Group	AKPPA4439G	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)			6	23093114	0	0	23093114	60.5513	23093114	0	23093114	60.5513	0	60.5513	0	0.0000	0	0.0000	23093114
	Bodies Corporate			6	23093114	0	0	23093114	60.5513	23093114	0	23093114	60.5513	0	60.5513	0	0.0000	0	0.0000	23093114
	Uttam Adlakha & Sons Holdings Private Limited	Promoter Group	AAACG0405E	1	16301224	0	0	16301224	42.7426	16301224	0	16301224	42.7426	О	42.7426	0	0.0000	0	0.0000	16301224
	Lipi Boilers Private Limited	Promoters	AAACL1650L	1	3515000	0	0	3515000	9.2165	3515000	0	3515000	9.2165	0	9.2165	0	0.0000	0	0.0000	3515000
	Uttam Industrial Engineering Private Limited	Promoters	AAACU1074B	1	2750000	0	0	2750000	7.2106	2750000	0	2750000	7.2106	0	7.2106	0	0.0000	0	0.0000	2750000
	New Castle Finance And Leasing Pvt Ltd	Promoter Group	AAACN0386K	1	380650	0	0	380650	0.9981	380650	0	380650	0.9981	0	0.9981	0	0.0000	0	0.0000	380650
	Shubham Sugars Limited	Promoter Group	AAICS3936L	1	145240	0	0	145240	0.3808	145240	0	145240	0.3808	0	0.3808	0	0.0000	0	0.0000	145240
	Uttam Sucrotech Limited	Promoters	AAACU4042K	1	1000	0	0	1000	0.0026	1000	0	1000	0.0026	0	0.0026	0	0.0000	0	0.0000	1000
	Sub Total (A)(1)			18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	74.3890	0	0.0000	0	0.0000	28370553
2	Foreign			ļ							ļ					1		1		
1.	Individuals (Non-Resident Individuals / Foreign								1											
(a)	Individuals)		_	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	+	0.0000	0
(b)	Government			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor	1		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)			0	U	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter		1								_			<u> </u>	L	_				
	Group (A)= (A)(1)+(A)(2)	<u> </u>		18	28370553	0	0	28370553	/4.3890	28370553	U	28370553	/4.3890	Į0	74.3890	10	0.0000	10	0.0000	28370553

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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

### Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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#### **Uttam Sugar Mills Limited** Table III - Statement showing shareholding pattern of the Public shareholder PAN Category & Name of the shareholders Nos. of No. of Partly No. of Total Sharehold Number of Voting Rights held in No. of Shareholding . Number of Number of Number Sub-categorization of shareh | fully paid | paidshares nos. ing % each class of securities Shares as a % Locked in Shares pledged of equity shares olders up equity up underl shares calculated Underlying assuming full shares shares or otherwise shares equity ying as per Outstanding conversion of encumbered held in SCRR, held shares Deposi convertible convertible demateri held 1957 As a No of Voting Rights As a % of alised Shareholding(No. of tory Total as securities securities ( as No. As a % No. % of (including (a) of total (a) total form shares) under Receip a % of a percentage (A+B+C2) Total Warrants) of diluted Shares Shares Class eg: Class Total Sub-Sub-Sub-Voting share capital) held(b) held(b) eg: y catego categ categ Rights ory(ii) ory(iii (1) (11) (VII) = (VIII) As a (IX) (X1)=(V11)+(X)(XII) (XIII) (XIV) (XV) (IV) (V) (IV)+(V) % of As a % of + (VI) (A+B+C2) (A+B+C2) Institutions (Domestic) 0.0000 0.0000 0.0000 0.0000 NA NA Mutual Fund (a) **Venture Capital Funds** 0 0 0.0000 0 0 0.0000 0.0000 0.0000 NA NA (b) 0.0000 0.0000 0.0000 0.0000 NA NA Alternate Investment Funds lo (c) 0 0 0.0000 0.0000 0.0000 0.0000 NA 0 0 0 NA 0 (d) Banks 0 0.0000 0 0 0.0000 0.0000 0.0000 NA NA 0 (e) Insurance Companies Provident Funds/ Pension Funds 0 0 0.0000 0 0 0 0.0000 0.0000 0.0000 NA NA 0 (G) Asset Reconstruction Companies 0 0 0 0.0000 0 0 0 0.0000 0.0000 0.0000 NA NA 0 Sovereign Wealth Funds 0 0.0000 0 0 0.0000 0.0000 0.0000 NA NA (h) NBFCs registered with RBI 0 0.0000 0 0 0.0000 0.0000 0.0000 NA NA Other Financial Institutions 0.0000 0.0000 0.0000 0.0000 NA NA 0 0 l٥ 0.0000 0.0000 0.0000 0.0000 NA NA Any Other (Specify) 0 0 lo 0 (k) 0.0000 Sub Total (B)(1) 0 0.0000 0.0000 0.0000 NA NA Institutions (Foreign) (a) Foreign Direct Investment 0 0 0.0000 0.0000 0.0000 0.0000 NA NA Foreign Venture Capital Investors 0 0 0.0000 0.0000 0.0000 0.0000 NA NA 0 (b) Sovereign Wealth Funds 0 0.0000 0.0000 0.0000 0.0000 NA NA (c) Foreign Portfolio Investors Category I 97569 97569 0.2558 97569 97569 0.2558 0.2558 0.0000 NA NA 97569 0 10 Foreign Portfolio Investors Category II 0 0 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 0 Overseas Depositories(holding DRs) (balancing 0.0000 0.0000 0.0000 NA figure) 0.0000 NA 0.0000 0.0000 NA NA Any Other (Specify) 0 0 0 0.0000 0 0.0000 Sub Total (B)(2) 97569 0 97569 0.2558 97569 97569 0.2558 0.2558 0.0000 NA NA 97569



						_				-												
3	Central Government/ State Government(s)																					
(a)	Central Government / President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b)	State Government / Governor		0	0.	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Shareholding by Companies or Bodies																					
	Corporate where Central / State Government is																					
(C)	a promoter		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Sub Total (B)(3)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
4	Non-Institutions			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(a)	Associate companies / Subsidiaries		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Directors and their relatives (excluding																					
(b)	Independent Directors and nominee Directors)		0	0	o	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(C)	Key Managerial Personnel		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
<u> </u>	, ,																					
	Relatives of promoters (other than 'immediate																					
	relatives' of promoters disclosed under																					
(D)	'Promoter and Promoter Group' category)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(5)	Tromoter and tromoter droup category,		ļ -	1	Ť	<del> </del>	1	0.0000	1	_		0.0000		0.0000	ľ	0.000	1474	1473				
	Trusts where any person belonging to																				ı	
	'Promoter and Promoter Group' category is																				ı	
(E)	'trustee', 'beneficiary', or 'author of the trust'		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	NA	NA			ı	
(E)	trustee, beneficiary, or author of the trust		0	U	U	-	U	0.0000	1	U	U	0.0000	U	0.0000	-	0.0000	IVA	NA	U			$\vdash$
165	Investor Education and Destaction Fund (IEDE)		_		0	0	0	0.0000		_	0	0 0000		0.0000		0.000					ı	
(1)	Investor Education and Protection Fund (IEPF)		U	U	U	<del> </del>	0	0.0000	0	0	U	0.0000	U	0.0000	0	0.0000	NA	NA	U			
I.,	i. Resident Individual holding nominal share				_					L		l. <u>.</u>		1				1		_	1_	I_
(g)	capital up to Rs. 2 lakhs.		305/2	5002724	0	0	5002724	13.1174	5002724	0	5002724	13.1174	0	13.1174	0	0.0000	NA	NA	4998056	0	0	0
l., ,	ii. Resident individual holding nominal share									_		l				1				_	1_	I. I
(h)	capital in excess of Rs. 2 lakhs.		37	3698527	<del>  -</del>	0	3698527		3698527		3698527		0	9.6977	0	0.0000	NA	NA	3561777	0	0	10
	Anil Kumar Goel	AAJPG2552Q		11.00000	0	0	1400000		1400000	0		3.6709	0	3.6709	0	0.0000	NA	NA	1400000			$\perp$
	Seema Goel	AHAPG8015A		701000	0	0	701000	1.8381	701000	0	701000	1.8381	0	1.8381	0	0.0000	NA	NA	701000			
(i)	Non Resident Indians (NRIs)		320	180634	0	0	180634	0.4736	180634	0	+	0.4736	0	0.4736	0	0.0000	NA	NA	180634	0	0	0
(j)	Foreign Nationals		0	0	0	0	0	0.0000	-	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0		<b></b>	$\perp$
(k)	Foreign Companies		0	0	0	0	0 .	0.0000		0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(1)	Bodies Corporate		146		0	0		0.9312	333132	0		0.9312	0	0.9312	0	0.0000	NA	NA	355152	0	0	0
(m)	Any Other (Specify)		651		0	0	432961	1.1352	432961	0		1.1352	0	1.1352	0	0.0000	NA	NA	432961	0	0	0
	Body Corp-Ltd Liability Partnership		14	21040	0	0	21040	0.0552	21040	0	21040	0.0552	0	0.0552	0	0.0000	NA	NA	21040	0	0	0
	Hindu Undivided Family		632	122201	0	0	411164	1.0781	722207	0	411164	1.0781	0	1.0781	0	0.0000	NA	NA	411164	0	0	0
	Clearing Member		5	757	0	0	757	0.0020	757	0	757	0.0020	0	0.0020	0	0.0000	NA	NA	757	0	0	0
	Sub Total (B)(4)		31726	9669998	0	0	9669998	25.3552	9669998	0	9669998	25.3552	0	25.3552	0	0.0000	NA	NA	9528580	0	0	0
	Total Public Shareholding (B)=																					
	(B)(1)+(B)(2)+(B)(3)+b(4)		31731	9767567	0	О	9767567	25.6110	9767567	0	9767567	25.6110	o	25.6110	0	0.0000	NA	NA	9626149	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of S	Shares %
0		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
0	0

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:
- (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
- (ii) Shareholder who have entered into shareholder agreement with the listed entity.
- (iii) Shareholders acting as persons in concert with promoters.

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							Jttam Si												
		Table	V - Sta	temen	t show	ing sha	reholding	pattern o	f the I	Non Pr	omot	er- Non	Public share	holder					
$\vdash$	Category & Name of the shareholders	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Sharehold	Numb	er of Vo	oting Ri	ghts held	No. of	Shareholding,	Numbe	r of	Numbe	er of	Number
			shareho	fully	paid-	shares	shares	ing %	in eacl	ı class (	of secu	rities	Shares	as a %	Locked	in shares	Shares	pledged	of equity
1			Iders	paid up	up	underl	held	calculated					Underlying	assuming full			or othe		shares
				1	equity	1		as per					1	conversion of		1	encum		held in
					1	I		SCRR,	No of	oting !	Rights	1	convertible	convertible	No. (a)	As a % of	No. (a)	1	demateria
1				held	held	tory		1957 As a				1	securities	securities ( as a		total		of total	lised form
						Receipt		% of	Class	Class	Total	(A+B+C)	(including	percentage of		Shares		Shares	
						S		(A+B+C2)	l	eg: y			Warrants)	diluted share capital)		held(b)		held(b)	
$\vdash$	(n)		()	(0.0	(1.0)	(1.41)	4.40	(5,000) 4	-		(1)(1)		(24)		ļ .	\	<b>—</b> ,	<u> </u>	(MAN A)
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a			(IX)		(x)	(XI)= (VII)+(X)	'	(XII)	'	XIII)	(XIV)
							(IV)+(V)+ (VI)	% of (A+B+C2)						As a % of (A+B+C2)			1		
$\vdash$					_		(VI)	ļ ·	-	T-	T-	1	-	<u> </u>				I	-
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare																		
	Trust under SEBI (Share based Employee Benefits										1								
2	and Sweat Equity) Regulations, 2021		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding																		
	(C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

#### Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

GENERATED ON :04/04/2025

NSDL: 31/03/2025



#### **Uttam Sugar Mills Limited** Table V - Significant Beneficial Owners Sr. No Details of the significant Details of the registered Details of Holding/exercise of risght of the SBO in the Date of beneficial owner owner reporting Company, Whether Direct or Indirect\*: creation / (11) acquisition Name PAN Nationality PAN Nationality Whether by Virtue of: of Name significant Shares Voting Rights on Exercise Exercise beneficial Rights Distributa of Control of interest ble Significat (IV) Dividend Influence or any other distributio AJZPK7896C AJZPK7896C 5.59 5.59 17-11-2000 RAJ KUMAR ADLAKHA ndian RAJ KUMAR ADLAKHA INDIAN 5.59 No RAJ KUMAR ADLAKHA AJZPK7896C Indian LIPI BOILERS PRIVATE LIMITED AAACL1650L INDIAN 9.22 9.22 9.22 No No 17-11-2000 RAJ KUMAR ADLAKHA AJZPK7896C Indian Rai Kumar Adlakha Huf AAGHR4573R 17-11-2000 INDIAN 0.01 0.01 0.01 No No UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED RAJ KUMAR ADLAKHA AJZPK7896C Indian AAACG0405E INDIAN 42.74 42.74 42.74 No No 17-11-2000 AJZPK7896C RAJ KUMAR ADLAKHA Indian SHUBHAM SUGARS LTD. AAICS3936L INDIAN 0.38 0.38 0.38 17-11-2000 No No RANJAN ADLAKHA AAEPA8800E Indian RANJAN ADLAKHA AAEPA8800E INDIAN 3.91 3.91 3.91 17-11-2000 No No RANJAN ADLAKHA AAEPA8800E Indian UTTAM INDUSTRIAL ENGINEERING PVT. LTD. AAACU1074B INDIAN 7.21 7.21 17-11-2000 7.21 No No



# **Uttam Sugar Mills Limited**

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.73
As on the end of previous 1st quarter	100.00	0.57
As on the end of previous 2nd quarter	100.00	0.60
As on the end of previous 3rd quarter	100.00	0.50
As on the end of previous 4th quarter	100.00	0.44

## Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

